1. Name of Listed Entity

2. Scrip Code/Name of Scrip/Class of Security

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)

M/s. NCL Research & Financial Services Limited 530557 - NCLRESE, Equity Shares Quarter ended 31st December 2015

4. Declaration

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?		\checkmark
	Whether the Listed Entity has issued any Convertible Securities or		
2	Warrants?		√
	Whether the Listed Entity has any shares against which depository		
3	receipts are issued?		√
4	Whether the Listed Entity has any shares in locked-in?		\checkmark
	Whether any shares held by promoters are pledge or otherwise		
5	encumbered?		√

Table I - Summary Statement holding of specified securities

·ub·	ate Category of Nos. of No. of No. of No. of Total nos. Shareholding as Number of Voting Rights held in each class of No. of Shareholding , Number of Number of																	
Cate	Category of	Nos. of	No. of fully	No. of	No. of	Total nos.	Shareholding as	Number of	Voting Righ	nts held in each	class of	No. of	Shareholding,	Nun	nber of	Nu	mber of	Number of
gory	shareholder (II)	sharehold	paid up	Partly	shares	shares held (VII)	a % of total no.	securities				Shares	as a % assuming Locked in		S	hares	equity shares	
(1)		ers (III)	equity shares	paid-up	underly	= (IV)+(V)+ (VI)	of shares	(IX)				Underlying	g full conversion shares		pledged or		held in	
			held (IV)	equity	ing		(calculated as					Outstanding	g of convertible (XII)			otherwise		dematerialize
			. ,	shares	Deposit		per SCRR, 1957)					convertible	securities (as a			enci	umbered	d form
				held	ory		(VIII) As a % of					securities	percentage of				(XIII)	(XIV)
				(V)	Receipt		(A+B+C2)					(including	diluted share				• ,	` ′
				()	s (VI)		(-)					Warrants)	capital)					
					- ()							(X)	(XI)= (VII)+(X)					
								No.	of Voting R	ights	Total as a	(-7	As a % of	No.	As a % of	No.	As a % of	
								Class - Fully	Class -		% of		(A+B+C2)	(a)	total	(a)	total	
								Paid	Others	Total	(A+B+C)		(/112162)		Shares		Shares	1
	Promoter &																	
(A)	Promoter Group	1	4,062,500	-	-	4,062,500	2.79	4,062,500	-	4,062,500	2.79	-	2.79	-	-	-	-	4,062,500
(B)	Public	634	141,362,500	-	-	141,362,500	97.21	141,362,500	-	141,362,500	97.21	-	97.21	4	-	N.A.	N.A.	127,000,275
	Non-Promoter																	
(C)	Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Shares																	
(C1)	underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Shares held by																	
	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Total	635	145,425,000	-	-	145,425,000	100.00	145,425,000	-	145,425,000	100.00	-	100.00	-	-	-	-	131,062,775

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Table	e II - Statement sh			<u> </u>									_					
	Category & Name of			Partly paid		Total nos.	Shareholding	Number of V			ch class of	No. of	Shareholding,		umber of		per of Shares	Number of
	the Shareholders (I)		paid up	up equity	shares	shares held	% calculated			urities		Shares	as a % assuming	Lock	ked in shares		edged or	equity
		older (III)	equity shares held	shares held	underlyin	(VII = IV+V+VI)	as per SCRR,		(IX)		Underlying Outstandin	full conversion of convertible		(XII)		therwise cumbered	shares held in
		(111)	(IV)	(V)	g Depositor	10+0+01)	1957 As a % of					g	securities (as a			en	(XIII)	dematerializ
			(11)	(*)	у		(A+B+C2)					convertible	percentage of				(7111)	ed form
					Receipts		(VIII)					securities	diluted share					(XIV)
					(VI)		, ,	No o	f Voting F	Rights	Total as a	(including	capital)	No.	As a % of	No.	As a % of	` ′
											% of	Warrants)	(XI) = (VII) + (X)	(a)	total	(a)	total Shares	
								Class - Fully Paid	Class - Others	Total	(A+B+C)	(X)	as a % of A+B+C2	,	Shares held	` ,	held (b)	
(1)	Indian							raiu	Others						(b)			
(a)	Individuals/Hindu																	
(a)	undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Vijay Jaideo Poddar	1	4,062,500	-	-	4,062,500	2.79	4,062,500	-	4,062,500	2.79	-	2.79	-	-	_	-	4,062,500
(b)	Central		., ,,			., ,,	.,	., ,,,		., ,,,	.,		.,					., ,,,
, ,	Government/ State																	
	Government(s)		_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_
	Name (xyz)																	
(c)	Financial																	
(-)	Institutions/ Banks		_	_	_	_	_	_	_	_	_	_	_	١.	_	١.	_	_
 	Name (xyz)		-		-		-	-	_	-	-	-	-	-	-			-
(d)	Any Other (Body																	
(a)	Corporate)		_	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)																	
	Sub-Total (A)(1)	1	4,062,500	-	_	4,062,500	2.79	4,062,500	-	4,062,500	2.79	-	2.79	-	_	-	-	4,062,500
(2)	Foreign		., ,,,			., ,,,	.,	., ,,		., ,,,	.,		.,					., ,,
(a)	Individuals (Non-																	
	Resident																	
	Individuals/ Foreign																	
<u> </u>	Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<u> </u>	Name (xyz)																	
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)																	
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)																	
(d)	Foreign Portfolio																	
<u> </u>	Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)			<u> </u>						<u> </u>	<u> </u>							
· ·	Any Other (specify)	-	-	-		-	_	-	-	-	-	-		-		-	_	_
(e)																		
(e)	Name (xyz)																	
(e)	Name (xyz) Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Sub-Total (A)(2) Total Shareholding of Promoter and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Sub-Total (A)(2) Total Shareholding	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Table III - Statement showing shareholding pattern of the Public shareholder

- abit	Category & Name of No. of No. of												Number of			6.61	s Number of	
				Partly paid		Total nos.	Shareholdi	Number of \		hts held in each	class of	No. of	Shareholding,		lumber of		per of Shares	
	the Shareholders (I)	shareh older	paid up equity shares	up equity shares	shares underlyin	shares held (VII =	ng % calculated			ırities IX)		Shares Underlying	as a % assuming full conversion	Locked in shares (XII)			edged or therwise	equity shares held in
		(III)	held	held	-	(VII = IV+V+VI)			(i^)		Outstandin			(AII)		cumbered	dematerialize
		(111)	(IV)	(V)	g Depositor	144441)	as per SCRR, 1957					g	securities (as a			en	(XIII)	d form
			(11)	(+)	y Receipts		As a % of					convertible					(//)	(XIV)
					(VI)		(A+B+C2)	* I					diluted share					, ,
							(VIII)	No. o	of Voting F	Rights	Total as a	(including	capital)	No.	As a % of	No.	As a % of	
								Class - Fully	Class -	Total	% of	Warrants)	(XI)	(a)	total Shares	(a)	total Shares	
								Paid	Others	Total	(A+B+C)	(X)			held (b)		held (b)	
(1)	Institutions																	
(a)	Mutual Funds	_	_	_	_		_	-	_	-	_	_	_	_	_	N.A.	N.A.	_
	Name (xyz)																1	
(b)	Venture Capital Funds																	
(5)	venture capital rands		_	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Name (xyz)																	
(c)	Alternate Investment																	
`-'	Funds		_	_	_	_	_	_	_	_	_	_	_	_	_	N.A.	N.A.	
	Name (xyz)															140743	14.7 4.	
(d)	Foreign Venture								}			1					-	
(u)	Capital Investors		_	_	_	_	_	_	_	_	_	_	_	_	_	N.A.	N.A.	_
	Name (xyz)		_	_	_	-	_		_	-	_	_	_	_	_			
(e)	Foreign Portfolio			_			_											
(5)	Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Name (xyz)																	
(f)	Financial Institutions/																	
	Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Name (xyz)																	
(g)	Insurance Companies	-	_	-	_	_	_	_	-	_	-	_	-	-	_	N.A.	N.A.	_
	Name (xyz)																	
(h)	Provident Funds/																	
()	Pension Funds	-	_	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Name (xyz)																	
(i)	Any Other (specify)	_	_	_	_	_			_	_	_	_	_	 	_	_	_	_
<u> </u>	Name (xyz)	_	-	<u> </u>	-	-	-	-		-	-	 	-	H	<u> </u>		 	-
-												1						
	Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Central Government/																	
	State Government(s)/ President of India																	
	i resident di ilidia	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_
	Name (xyz)																<u> </u>	
 	Sub-Total (B)(2)											1					 	
(3)	Non-institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a)	Individuals - i. Individual																	
	shareholders holding																	
	nominal share capital																	
	up to Rs. 2 lakhs.	445	1,192,065	-	-	1,192,065	0.82	1,192,065	-	1,192,065	0.82	-	0.82	-	-	N.A.	N.A.	1,159,165
				ı					L		L							

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name			Partly	Nos. of		Shareholdi	5 5				No. of	Shareholding,	Νι	umber of	Numb	per of Shares	Number of
	of the Shareholders	shareh		paid-up	shares	shares held	ng %		ach class c			Shares	as a % assuming	Lock	ed in shares	pledged or		equity
	(1)	older	equity shares	equity	underlyin	(VII =	calculated		(E	()		Underlying	full conversion		(XII)	0	therwise	shares held
		(III)	held	shares	g	IV+V+VI)	as per					Outstandin	of convertible			encumbered		in
			(IV)	held	Depositor		SCRR, 1957					g	securities (as a				(XIII)	dematerializ
				(V)	у		As a % of					convertible						ed form
					Receipts		(A+B+C2)					securities	diluted share					(XIV)
					(VI)		(VIII)	No. of	f Voting R	ghts	Total as a	(including Warrants)	capital) (XI)	No.	As a % of	No.	As a % of	(Not Applicable)
								Class -	Class - Y	Total		(X)	(٨١)	(a)	total	(a)	total Shares	Арріісавіе)
								Χ			(A+B+C)	(//)			Shares held		held (b)	
(1)	Custodian/DR																	
	Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-		N.A.	-
(a)	Name of DR Holder																	
	(if available)																N.A.	
	abc,.,																N.A.	
(ii)	efg																N.A.	
(2)	Employee Benefit																	
	Trust (under SEBI																	
	(Share based																	
	Employee Benefit)																	
	Regulations, 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		N.A.	-
(a)	Name (abc)																N.A.	
	Total Non-																•	
	Promoter- Non																	
	Public																	
	Shareholding (C)=	-	-	-	-	-	-	-	-	-	-	-	-	-	-		N.A.	-

	ii. Individual shareholders holding nominal share capital in excess of Rs. 2																	
(b)	Othres (Less than 1%) NBFCs registered with	22	8,069,775	-	-	8,069,775	5.55	8,069,775	-	8,069,775	5.55	-	5.55	-	-	N.A.	N.A.	6,714,775
(5)	RBI																	
	Othres (Less than 1%)	1	7,550	-	-	7,550	0.01	7,550	-	7,550	0.01	-	0.01	-	-	N.A.	N.A.	7,550
(c)	Employee Trust	-	-	-	-	-	-	-	-	-	-	-	-		-	N.A.	N.A.	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Name (xyz)																	
(e)	Bodies Corporate																	
	Rangan Vincom Private Limited	1	1,501,600	-	-	1,501,600	1.03	1,501,600	-	1,501,600	1.03	-	1.03	-	-	N.A.	N.A.	1,501,600
	Petunia Trades Private										-		-					
	Limited	1	1,572,025	-	-	1,572,025	1.08	1,572,025	-	1,572,025	1.08	-	1.08	-	-	N.A.	N.A.	1,572,025
	Lifewood Tieup Private Limited	1	1,871,725	-	-	1,871,725	1.29	1,871,725	-	1,871,725	1.29	-	1.29	-	-	N.A.	N.A.	1,497,380
	Joyguru Commotrade		, , , , -			, , , , ,		, , , ,		, , ,, ,								, , , , , ,
	Pvt. Ltd.	1	2,261,675	-	-	2,261,675	1.56	2,261,675		2,261,675	1.56	-	1.56	-	-	N.A.	N.A.	2,261,675
	Venera IT Marketing																	
	Pvt. Ltd.	1	2,263,450	-	-	2,263,450	1.56	2,263,450	-	2,263,450	1.56	-	1.56	-	-	N.A.	N.A.	2,263,450
	Shreehari Vinimay Private Limitd										. ==					NI A	NI A	
	Fair Deal Equisearch &	1	2,479,200	-	-	2,479,200	1.70	2,479,200	-	2,479,200	1.70	-	1.70	-	-	N.A.	N.A.	2,479,200
	Realtors Pvt. Ltd.	1	2,500,000	-	-	2,500,000	1.72	2,500,000	-	2,500,000	1.72	-	1.72	-	-	N.A.	N.A.	2,500,000
	Reachsmart Construction Private	1	2,530,775	1	-	2,530,775	1.74	2,530,775	-	2,530,775	1.74	-	1.74	-	-	N.A.	N.A.	2,530,775
	Victor Commodeal Private Limited	1	2,566,950	-	-	2,566,950	1.77	2,566,950	-	2,566,950	1.77	-	1.77	-	-	N.A.	N.A.	2,566,950
	Sprint Vanijya Private		2 645 625			2 645 625	4.80	2 645 625		2 645 625	4.90		4.90			N. A	N. A	2 645 625
	Limited Rockers Tradelink Pvt.	1	2,615,625	-	-	2,615,625	1.80	2,615,625	-	2,615,625	1.80	-	1.80	-	-	N.A.	N.A.	2,615,625
	Ltd.	1	2,618,875	-	-	2,618,875	1.80	2,618,875	•	2,618,875	1.80	-	1.80	-	-	N.A.	N.A.	2,618,875
	Snowhill Deatrade					- 6-66	. 0-				. 0-		. 0-				N. A	
	Private Limited Shivsathi Mercantile	1	2,696,625	-	-	2,696,625	1.85	2,696,625	-	2,696,625	1.85	-	1.85	-	-	N.A.	N.A.	2,696,625
	Private Limited	1	2,740,025	-	-	2,740,025	1.88	2,740,025	-	2,740,025	1.88	-	1.88	-	-	N.A.	N.A.	2,740,025
	Helot Properties Private Limited	1	2,936,950	-	-	2,936,950	2.02	2,936,950	-	2,936,950	2.02	-	2.02	-	-	N.A.	N.A.	2,936,950
	Goodpoint Impex Private Limited	1	3,288,265	-	-	3,288,265	2.26	3,288,265	-	3,288,265	2.26	-	2.26	_	_	N.A.	N.A.	3,288,265
	Saikat Tradelink		, , , , , , , , , , , , , , , , , , ,			-, , -												
	Private Limited	1	3,362,025	-	-	3,362,025	2.31	3,362,025	-	3,362,025	2.31	-	2.31	-	-	N.A.	N.A.	3,362,025
	Ayyan Commercial Private Limited	1	3,466,100	-	-	3,466,100	2.38	3,466,100	-	3,466,100	2.38	-	2.38	-	_	N.A.	N.A.	1,416,100
	Katyani Commodities Private Limited	1	3,731,575	-	-	3,731,575	2.57	3,731,575	-	3,731,575	2.57	-	2.57	_	-	N.A.	N.A.	3,731,575
	Greenrow Trading Private Limited	1	3,756,925	-	-	3,756,925	2.58	3,756,925	-	3,756,925	2.58	-	2.58	_	-	N.A.	N.A.	3,756,925
	Dakota Vintrade Private Limited	1	3,776,175	-	-	3,776,175	2.60	3,776,175	-	3,776,175	2.60	-	2.60	-	-	N.A.	N.A.	3,776,175

Core Commodities																	
Private Limited	1	4,481,550	-	-	4,481,550	3.08	4,481,550	-	4,481,550	3.08	-	3.08	-	-	N.A.	N.A.	231,550
Diganta Technology Private Limited	1	5,000,800	-	-	5,000,800	3.44	5,000,800	-	5,000,800	3.44	-	3.44	-	-	N.A.	N.A.	5,000,800
Swadhinata Vintrade Private Limited	1	5,402,175	-	-	5,402,175	3.71	5,402,175	-	5,402,175	3.71	_	3.71	-	-	N.A.	N.A.	5,402,175
Everstrong Vincom Private Limited	1	5,419,825	-	-	5,419,825	3.73	5,419,825	-	5,419,825	3.73	_	3.73	-	-	N.A.	N.A.	5,419,825
Looklike Trade Private Limited	1	5,693,625	-	-	5,693,625	3.92	5,693,625	-	5,693,625	3.92	-	3.92	-	-	N.A.	N.A.	5,693,625
Overload Financial Advisory Pvt. Ltd.	1	6,292,350	-	-	6,292,350	4.33	6,292,350	-	6,292,350	4.33	-	4.33	-	-	N.A.	N.A.	92,350
Sankatsathi Agencies Private Limited	1	6,906,075	-	-	6,906,075	4.75	6,906,075	-	6,906,075	4.75	-	4.75	-	-	N.A.	N.A.	6,906,075
Goodshine Dealtrade Pvt. Ltd.	1	8,256,800	-	-	8,256,800	5.68	8,256,800	-	8,256,800	5.68	_	5.68	-	-	N.A.	N.A.	8,256,800
Othres (Less than 1%)	134	30,102,545	-	-	30,102,545	20.70	30,102,545	-	30,102,545	20.70	-	20.70	-	-	N.A.	N.A.	30,002,565
NRI (Repat & Non Repat)																	
Othres (Less than 1%)	4	800	-	-	800	0.00	800	-	800	0.00	-	0.00	-	-	N.A.	N.A.	800
Hindu Undivided																	
Othres (Less than 1%)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
Trust																	
Othres (Less than 1%)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
Clearing Members																	
Sub-Total (B)(3)	634	141,362,500	-	-	141,362,500	97.21	141,362,500	-	141,362,500	97.21	-	97.21	-	-	N.A.	N.A.	127,000,275
Total Shareholding of Promoter and Promoter Group (B)=(B)(1)+(B)(2)+(B)(3)					,		_		j								
	634	141,362,500	-	-	141,362,500	97.21	141,362,500	-	141,362,500	97.21	-	97.21	-	-			127,000,275

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): Not Any

Note - Details of Shares which remain unclaimed along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. - Not Any